**Annexe 5**



**Risk assessment for new collaborative partnership**

The table below is designed to assist with assessing the level of risk associated to the proposed partner organisation and partnership arrangements. A score is given to a series of factors and the total score gives an indication of risk from low to high.

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Score available** | **Score given** | **Further information** |
| **Partner’s location** | | | |
| UK | **1** | Choose an item. | For overseas partners clarify the legal status of the partner in its own country and capacity to contract with the University. Outline any legal requirements of the country (i.e. government registrations) needed to  enter into the partnership. |
| Europe | **3** |
| Overseas other | **5** |
|  | | | |
| **Partner’s status** | | | |
| University | **1** | Choose an item. | For private and charitable organisations provide further detail on their ownership, funding status and legal capacity to enter into the partnership. Detail any links or registered interests with other organisations which may present risks  to the University. |
| Publically funded FE College | **3** |
| Private College / organisation | **5** |
| Charitable body | **5** |
|  | | | |
| **Partner’s quality assurance reputation** | | | |
| Satisfactory outcome from regulatory body, e.g. QAA | **1** | Choose an item. | Detail basic outcomes from relevant regulatory body (e.g. QAA) or accreditations gained. Clarify if there are no relevant regulatory bodies in operation (overseas partners). |
| No regulatory body, relevant accreditations gained | **3** |
| No regulatory body, no relevant accreditations | **5** |
| Unsatisfactory outcome from regulatory body | **7** |
|  | | | |
| **Partner’s size** | | | |
| Large, well resourced | **1** | Choose an item. | Provide further detail on size (student and staff numbers) and resources. |
| Small, generally well-resourced | **3** |
| Large or small, generally limited resources | **5** |
|  | | | |
| **Partner’s experience of programmes at the proposed level** *(for recognition/articulation*  *arrangements this refers to level of partner’s programme)* | | | |
| Extensive experience | **1** | Choose an item. | Detail levels of programmes offered by the partner. For overseas partners clarify equivalence with UK HE levels. |
| Limited experience | **3** |
| No previous experience | **5** |
|  | | | |
| **Partner’s previous experience with collaboration arrangements** | | | |
| Has collaboration arrangements with UK HEIs | **1** | Choose an item. | List names of UK HEIs (or others) and detail on nature of partnerships for |

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Score available** | **Score given** | **Further information** |
| Has collaboration arrangements with non-UK HEIs | **3** |  | current and past (3 years if possible) partnerships. Where possible focus on those most relevant to this proposed partnership (e.g. subject area, similar collaborative arrangement). |
| Has student exchange arrangements with UK HEIs only | **3** |
| Research collaborations/other only | **5** |
|  | | | |
| **Collaborative arrangement proposed** | | | |
| Recognition agreement, MoC, UW offsite delivery | **1** | Choose an item. | Provide any further detail on responsibilities of the partner in the arrangement not previously covered under sections 3-5 above. For joint awards confirm the legal capacity of all institutions to jointly grant academic  awards. |
| Articulation agreement | **3** |
| Franchise, validation | **5** |
| Dual award, joint award | **7** |
|  | | | |
| **Level of programme** *(not applicable to recognition/articulation arrangements)* | | | |
| Level 1-3 (pre-HE) | **1** | Choose an item. | For overseas partners, clarify equivalence with UK HE levels. |
| Level 4-6 (UG) | **3** |
| Level 7 (PG) | **5** |
|  | | | |
| **School’s experience of collaborative arrangements** | | | |
| Some (current or past 5 years) | **1** | Choose an item. | Provide further detail of experience. |
| None | **3** |

**Total score: Click here to enter text. Risk rating:** Choose an item.

*(low risk = 7-20, medium risk = 21-34, high risk = 34-47)*

## Additional information (not required for recognition/articulation arrangements)

Financial standing of proposed partner organisation and ability to provide resources for the proposed arrangement:

Click here to enter text.

*(For overseas (non-EU) organisations)*

Information on the social, political or economic climate of the country (as appropriate) and the higher education structure:

Click here to enter text.

Partners experience of teaching in English (please specify if it is the intention that any of the programme will be delivered in a language other than English):

Click here to enter text.

## Visits to the partner organisation

Has a visit to the partner taken place during the development phase of this arrangement?

Yes ☐ No ☐

If yes, provide further detail on who undertook the visit and considerations given to suitability of resources

Click here to enter text.

## Recommendations (required)

Additional information (including recommendations for due diligence process): Click here to enter text.

On the basis of the risk assessment what, if any, additional factors or processes for mitigating the risk associated with this proposal have been taken into account?

Click here to enter text.

Report completed by: Click here to enter text. Date: Click here to enter a date

|  |  |
| --- | --- |
| **Approval Authority** | ASQEC |
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| **Related Policies, Procedures, Guidance, Forms or Templates** | [Partnership Approval Process](http://www.worc.ac.uk/aqu/documents/Partnership_Approval_Process.pdf) |
| **Guidance superseded by this version** | n/a |